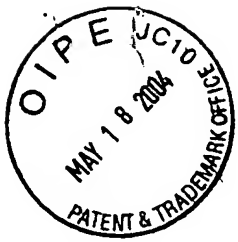


ifw



**UNITED STATES PATENT AND TRADEMARK OFFICE**

<b>APPLICANT:</b>	Lopes, Michael A.	}
<b>SERIAL NO.:</b>	10/689,891	}
<b>FILED:</b>	October 20, 2003	} ART UNIT: 3676
<b>FOR:</b>	Retractable Security Cable Device	} EXAMINER: Not Yet Assigned

To: Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
BEFORE MAILING OF FIRST OFFICE ACTION UNDER 37 CFR 1.97(b)**

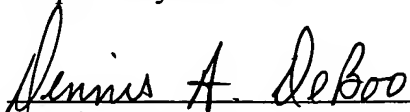
The patents and non patent literature documents listed on the appended PTO/SB/08A form reflect the state of the art of which applicant is currently aware. These references are included to fulfill the applicant's duty to disclose prior art. It is stipulated, however, that none of these references teach singly, nor render obvious when combined, the nexus of this invention as disclosed and as particularly claimed.

**IDENTIFICATION OF TIME OF FILING THE  
ACCOMPANYING INFORMATION DISCLOSURE STATEMENT**

This information disclosure statement including the attachments submitted herewith, are being filed before the mailing date of a first Office Action on the merits.

Dated: May 14, 2004

Respectfully Submitted:

  
Dennis A. DeBoo  
Telephone: (916) 419-5498  
Registration No.: 42,471

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

**Complete if Known**

Application Number	10/689,891
Filing Date	October 20, 2003
First Named Inventor	Lopes, Michael A.
Art Unit	3676
Examiner Name	Not Yet Assigned
Attorney Docket Number	23405-pa

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	1	US- 5018374	05-28-1991	Montano	
	2	US- 5063762	11-12-1991	Vandeweghe	
	3	US- 5065603	11-19-1991	Kloke	
	4	US- 5251464	10-12-1993	Halter	
	5	US- 5408212	04-18-1995	Meyers, et al.	
	6	US- 5678435	10-21-1997	Hodson	
	7	US- D451368	12-04-2001	Hardesty	
	8	US- 6505846	01-14-2003	Hoffman	
		US-			
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		US-			
		US-			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>		<b>Complete if Known</b>	
		Application Number	10/689,891
		Filing Date	October 20, 2003
		First Named Inventor	Lopes, Michael A.
		Art Unit	3676
		Examiner Name	Not Yet Assigned
Sheet 2	of 2	Attorney Docket Number	23405-pa

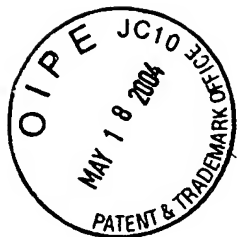
NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	9	Whiplock, bicycle bike lock, 5/13/2002, 1 page total, USA	
	10	Performance Bicycle, U-lock, 5/13/2002, 1 page total, USA	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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UNITED STATES PATENT AND TRADEMARK OFFICE

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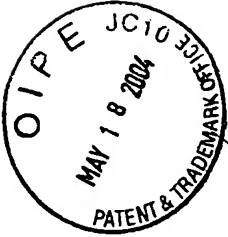
**Authorization to Charge Deposit Account**

It is believed that no fees are due with respect to the filing of the appended Information Disclosure Statement. However, should any fees now be due, such fees should be charged to deposit account number 50-0939, agent docket number 23405-pa.

Dated: May 14, 2004

Respectfully Submitted:

Dennis A. DeBoo  
Telephone (916) 419-5498  
Registration No.: 42,471



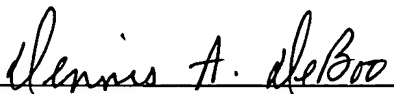
**CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8(a)**

**Patentee:** Lopes, Michael A.  
**Serial No.:** 10/689,891  
**Filing Date:** October 20, 2003  
**For:** Retractable Security Cable Device  
**Paper:** 1. A Transmittal of Information Disclosure Statement before Mailing of First Office Action under 37 CFR 1.97(b);  
2. A PTO Form PTO/SB/08A (including patent and non patent literature document copies);  
3. An Authorization to Charge Deposit Account (original and one copy); and  
4. A Return Receipt Card.

I hereby certify that the above identified correspondence, which is attached, is being deposited with the United States Postal Service, as first class mail, with sufficient postage thereon, in an envelope addressed to:

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
on May 14, 2004.

DENNIS A. DEBOO

  
(Signature)

May 14, 2004  
(Date of Signature)